

✓ RCE #11  
GP 2826  
RCE  
8/19/03  
ay

**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

Application Number	09/925,740
Filing Date	August 10, 2001
First Named Inventor	Takuya Yamamoto
Group Art Unit	2826
Examiner Name	A. O. Williams
Attorney Docket Number	2922-108

**This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.**  
**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See *Changes to Application Examination and Provisional Application Practice*, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (April 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114
  - a. ☐ Previously submitted
    - i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_
    - ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
    - iii. ☐ Other \_\_\_\_\_
  - b. Enclosed
    - i. ☒ Amendment/Reply
    - ii. ☐ Affidavit(s)/Declarations
    - iii. ☐ Information Disclosure Statement (IDS)
    - iv. ☐ Other \_\_\_\_\_
2. Miscellaneous
  - a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.170(i) required.)
  - b. ☐ Other \_\_\_\_\_
3. Fees The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.
  - a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 02-2135.
    - i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
    - ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
    - iii. ☒ Other \$252.00 (Independent claims in excess of 3)
  - b. ☒ Check in the amount of \$ 950.00 enclosed.
  - c. ☐ Payment by credit card (Form PTO-2038 enclosed.)

TECHNOLOGY CENTER  
AUG 13 2003  
8800

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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED			
NAME AND REG. NUMBER	Joyce von Natzmer, Reg. No. 48,120		
SIGNATURE		DATE	8/18/03



## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Complete if Known

Application Number	09/925,740
Filing Date	August 10, 2001
First Named Inventor	Takuya Yamamoto
Examiner Name	A. O. Williams
Group Art Unit	2826

Total Number of Pages in This Submission	Attorney Docket Number	2922-108
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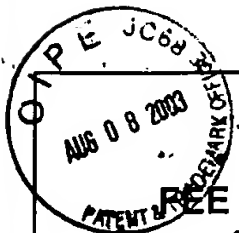
### ENCLOSURES (check all that apply)

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Assignment Papers  | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input checked="" type="checkbox"/> Fee Attached                             | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Admendment                               | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input checked="" type="checkbox"/> After Final                              | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Declaration under Rule 312                          | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input checked="" type="checkbox"/> Extension of Time Request                | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  | <b>Request for Continued Examination (RCE)</b>   |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s)  |  |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   |   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

REMARKS:

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SUBMITTED BY		Complete (if applicable)			
NAME AND REG. NUMBER	Joyce von Natzmer, Reg. No. 48,120				
SIGNATURE		DATE	8/10/03	DEPOSIT ACCOUNT USER ID 02-2135	



**FREE TRANSMITTAL  
for FY 2003  
(Large Entity)**

Complete if Known

Application Number	09/925,740
Filing Date	August 10, 2001
First Named Inventor	Takuya YAMAMOTO
Examiner Name	A.O. Williams
Group Art Unit	2826
Total Amount of Payment	(\$1202.00)
Attorney Docket Number	2922-108

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck

☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status

2. ☒ Payment Enclosed

☒ Check

☐ Credit Card

**FEE CALCULATION**

**1. FILING FEE**

Code	Fee \$	Fee Description	Fee Paid
1001	750	Utility filing fee	[ ]
1002	330	Design Filing Fee	[ ]
1003	520	Plant Filing Fee	[ ]
1004	750	Reissue Filing Fee	[ ]
1005	160	Provisional Filing Fee	[ ]

**SUBTOTAL \$**

**2. CLAIMS**

	Extra Claims	Fee	Fee
Paid			
Total Claims	[25] - 20** = [5]	x \$18 =	[90]
Independent Claims	[7] - 4** = [3]	x 84 =	[252]
Multiple Dependent Claims	+	280 =	[ ]

\*\*or number previously paid, if greater;

**SUBTOTAL \$342**

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Code	Fee	Fee Description	Fee Paid
1051	130	Surcharge - late filing fee or oath	[ ]
1052	50	Surcharge - late provisional filing fee or cover sheet	[ ]
1053	130	Non-English specification	[ ]
1812	2,520	For filing a request for reexamination	[ ]
1804	920	Requesting publication of SIR prior to Examiner action	[ ]
1804	1,840*	Requesting publication of SIR after Examiner action	[ ]
1251	110	Extension for reply within first month	[110]
1252	410	Extension for reply within second month	[ ]
1253	930	Extension for reply within third month	[ ]
1254	1,450	Extension for reply within fourth month	[ ]
1255	1,970	Extension for reply within fifth month	[ ]
1401	320	Notice of Appeal	[ ]
1402	320	Filing a brief in support of an appeal	[ ]
1403	280	Request for Oral Hearing	[ ]
1451	1,510	Petition to institute a public use proceeding	[ ]
1452	110	Petition to revive -unavoidable	[ ]
1453	1,300	Petition to revive - unintentional	[ ]
1501	1,300	Utility issue fee (or reissue)	[ ]
1502	470	Design issue fee	[ ]
1503	630	Plant issue fee	[ ]
1460	130	Petitions to the Commissioner	[ ]
1807	50	Processing fee under 37 CFR 1.17(q)	[ ]
1806	180	Submission of Information Disclosure Statement	[ ]
8021	40	Recording each patent assignment per property (times number of properties)	[ ]
1809	750	Filing a submission after final rejection (37 CFR 1.129(a))	[ ]
1810	750	For each additional invention to be examined (37 CFR 1.129(b))	[ ]
1801	750	Request for Continued Examination (RCE)	[750]
1802	900	Request for expedited examination of a design application	[ ]
1504	300	Publication fee for early, voluntary, or normal publication	[ ]
1505	300	Publication fee for republication	[ ]
1455	200	Filing application for patent term adjustment	[ ]
1456	400	Request for reinstatement of term reduced	[ ]
Other fee (specify)			[ ]

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL**

**\$860**

SUBMITTED BY		Complete (if applicable)			
NAME AND REG. NUMBER	Joyce von Natzmer, Reg. No. 48,120				
SIGNATURE	<i>Joyce v. Natzmer</i>	DATE	8/10/03	DEPOSIT ACCOUNT USER ID	02-2135 XXX